



# Westpac Margin Lending – Managed Fund Transactions Form

Use this form to complete a managed fund transaction and/or amend or cancel a regular gearing arrangement.

### Questions?

If you have any questions regarding completing this form, please call us on 1800 816 222.

Phone lines are available Mon – Fri from 8.30am – 5.30pm (Sydney time).

## 1. Borrower's details

Borrower's name(s)

Borrower's client code

## 2. What would you like to do?

Place ☒ in the relevant box below:

- |  |  |
|--|--|
| <input type="checkbox"/> Make an initial or additional Managed Fund application  | ▶ Go to section 3  |
| <input type="checkbox"/> Change your distribution option(s)                      | ▶ Go to section 4  |
| <input type="checkbox"/> Amend or cancel an existing Regular Gearing arrangement | ▶ Go to section 5  |
| <input type="checkbox"/> Redeem Managed Fund(s)                                  | ▶ Go to section 6  |
| <input type="checkbox"/> Switch Managed Funds                                    | ▶ If your intention is to switch between Managed Funds, complete the application section 3 and redemption section 6, and supply supporting documentation. Application will be processed once all funds have been received. |

## 3. Managed Fund(s) application (initial and additional)

Applications must be made in the name of BT (Queensland) Pty Limited.

Please attach a completed Managed Fund application form for the relevant fund(s), and if appropriate, have the fees sections completed by your financial adviser.

If you are using funds from managed fund redemptions to fund the below application please complete section 6 of this form. Applications will not be placed until Westpac Margin Lending has received the redemption proceeds.

APIR Code	Managed Fund(s) name	Investor no. (List for additional investments)	Investment		Distribution Option*		
			Your equity	Loan advance	Cash	Reinvest	Loan
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total			\$	\$			



**3. Managed Fund(s) application (initial and additional) (continued)****Method of Payment for your equity contribution**

Please choose how you would like to pay for your contribution:

- ☐ Direct Debit my existing nominated account (if applicable).
- ☐ Direct Debit a new bank account – please also complete the Direct Debit/Direct Credit Request available from [westpac.com.au/marginloan](http://westpac.com.au/marginloan)
- ☐ Proceeds from the Managed Fund redemption(s) outlined in section 6.

**4. Change distribution options**

APIR Code	Managed Fund(s) name	Investor no.	Distribution Option*		
			If you choose Cash as your distribution option complete the bank details below		
			Cash	Reinvest	Loan
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Note:** In order to enforce the above changes for next distribution, your change of option must reach each Fund Manager by their last date of acceptance. These dates vary between fund managers.

\* Note that these distribution options may not be offered by all Fund Managers. We recommend that you check with your Fund Manager that your preferred distribution option is available. Cash implies that distributions will be credited to your bank account nominated on the Managed Fund Application Form.

**At least one of the account signatories must be borrower or third party to the Westpac Margin Loan.**

Name of financial institution

Branch name

BSB number

Account number

Bank account holder name(s)



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**5. Regular gearing (amend or cancel)**

☐ Amend my existing Regular Gearing arrangement as outlined below:

**Please note:**

- For regular gearing, the minimum monthly investment into each fund is \$250. You need to check that this complies with the fund manager's minimum investment criteria as well.
- If you have a monthly equity contribution as part of your Regular Gearing arrangement and do not have sufficient funds in your nominated bank account at the time the direct debit is due, we may draw the equity contribution amount from your loan as well, where there are sufficient funds available within your credit and borrowing limit.
- We may terminate the regular gearing arrangement at any time if we consider that a loan contribution may result in the amount outstanding under your facility exceeding either your borrowing limit or your credit limit.

APIR Code	Managed Fund(s) name	Investor no.	Regular Investment	
			Your equity	Loan advance
			\$	\$
			\$	\$
			\$	\$
			\$	\$
<b>Total</b>			\$	\$

or

☐ Cancel my existing Regular Gearing arrangement

**6. Redemption of Managed Funds**

APIR Code	Managed Fund(s) name	Investor no.	Redemption amount		Cancel Regular Gearing	
			Dollars	Units	Yes	No
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
			\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total</b>			\$	\$		

**Instruction for redemption proceeds**

Please select one of the following options:

- ☐ Reduce my/our margin loan balance.
- ☐ Switch into the Managed Fund(s) outlined in section 3.
- ☐ Pay into my existing nominated account (if applicable).
- ☐ Pay into my/our bank account nominated below (subject to available funds). **(At least one of the account signatories must be a borrower or third party to the Westpac Margin Loan)**



**6. Redemption of Managed Funds (continued)**

Name of financial institution

Branch name

BSB number

Account number

Bank account holder name(s)

**7. Privacy Statement**

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at [westpac.com.au/privacy/privacy-statement](http://westpac.com.au/privacy/privacy-statement) or by calling us on 132 032. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your application or request.

**8. Declaration and signing section**

☐ I/We confirm that the above details are true and correct.

**For company/company trustee borrowers and/or third party security providers, either two directors, or one director and the secretary OR the sole director and the secretary must sign. Indicate your company capacity by marking the appropriate box below your signature.**

Signature of **Borrower**


Date

 /  / 

Signatory's full name (please print)

☐ Director ☐ Sole Director and Secretary

Signature of **Additional Borrower**


Date

 /  / 

Signatory's full name (please print)

☐ Director ☐ Company Secretary

Signature of **Security Holder**  
(in case of third party ownership)

Date

 /  / 

Signatory's full name (please print)

☐ Director ☐ Sole Director and Secretary

Signature of **Additional Security Holder**  
(in case of third party ownership)

Date

 /  / 

Signatory's full name (please print)

☐ Director ☐ Company Secretary



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## 8. Declaration and signing section (continued)

Once you have printed and signed this form, you can submit it by:

🏠 Westpac Margin Lending  
GPO Box 3917, Sydney NSW 2001

✉ [mltransact@westpac.com.au](mailto:mltransact@westpac.com.au)



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