

# WIB Verifying Officer Nomination

Complete this form when nominating a person(s) to be a Verifying Officer for your organisation or any subsidiary of your organisation in respect of any account(s)/facility(ies) held by your organisation/subsidiary with Westpac Banking Corporation (Westpac).

## Part A Organisation Details

Complete this section with your organisation details.

**Note:** If you are nominating a Verifying Officer for any subsidiary of your organisation, complete Annexure B with the details of each subsidiary. The authorised representatives signing this form **must** be authorised to sign on behalf of each subsidiary named in Annexure B. Please refer to Part E in this respect.

Full name of organisation

ACN/ABN/ARBN/Foreign Registration Body Number

Organisation Type (please tick (✓) one or more of the following, as applicable):

- 1. A public company within the meaning of the Corporations Act
- 2. A proprietary company within the meaning of the Corporations Act
- 3. A foreign company carrying on business in Australia within the meaning of the Corporations Act that is registered with ASIC as a foreign company and registered outside of Australia with a foreign registration body
- 4. A foreign public company incorporated outside of Australia in accordance with the requirements of the jurisdiction in which it is incorporated (must be registered outside of Australia with a foreign registration body)
- 5. An organisation that is a subsidiary of a public company within the meaning of the Corporations Act
- 6. A bank
- 7. A building society registered under State/Territory legislation in Australia
- 8. A credit union registered under State/Territory legislation in Australia
- 9. An incorporated association operating under State/Territory legislation in Australia
- 10. An unincorporated association that is a subsidiary of an incorporated association operating under State/Territory legislation in Australia
- 11. A regulated partnership and subject to membership of a professional association or where the regulation is governed by a State/Territory Act within Australia
- 12. A registered co-operative under State/Territory legislation in Australia
- 13. An Australian State/Territory or federal governmental or semi-governmental body
- 14. A body corporate established under State/Territory legislation in Australia

## Part B Nomination

The organisation nominates the persons named in Part C, (including any continuation of Part C in an annexure to this form), severally, as Verifying Officers to identify agents (including account signatories) of the organisation (named in Part A and if applicable, its subsidiaries named in Annexure B) in accordance with the Anti-Money Laundering & Counter-Terrorism Financing Act and Rules made under it (the "AML Law").

Verifying Officers must collect:

- the full name of the signatory/agent,
- other names known by (if any) of the signatory/agent
- residential address of the signatory/agent
- date of birth of the signatory/agent
- the title of the position or role held by the signatory/agent with the organisation, and if applicable, each subsidiary
- a copy of the signature of the signatory/agent
- evidence of the signatory's/agent's authority to act on behalf of the organisation, and if applicable, each subsidiary

and will be required to certify this to Westpac using a form provided by Westpac.

## Part C Verifying Officer Details

Each Verifying Officer(s) acknowledges that the personal information about him or her collected in Part C (including any continuation of Part C in an annexure to this form) is required to satisfy Westpac's identification requirements under the AML Law. If you do not provide all the information requested, Westpac may not be able to provide any product or service to the organisation. Westpac will use and disclose your personal information to other members of the Westpac Group\*, anyone Westpac engages to do something on its behalf, and other organisations that assist Westpac with its business which may include organisations located outside of Australia and to government agencies and regulators, in some cases offshore. Details are in Westpac's privacy policy. Westpac's privacy policy, available at westpac.com.au or by calling 132 032 (or from overseas +61 2 9293 9270), contains information on how Westpac handles your personal information, how you can access the personal information Westpac holds about you and seek its correction, how you may complain about a breach of the Australian Privacy Principles and how Westpac will deal with your complaint.

Each Verifying Officer, by signing this form, confirms and agrees that:

- they accept the nomination as per Part B;
- they must be fully identified by Westpac (and not by another Verifying Officer) for the purposes of this nomination before acting as a Verifying Officer and that Westpac may decline to accept a nomination for reasons associated with its obligations under the AML Law without being required to give reasons;
- they are not, by this form, authorised to appoint signatories/agents; and
- they are aware that it is an offence under the AML Law to knowingly provide false or misleading information or knowingly produce a false or misleading document. **Penalty: Imprisonment for 10 years.**

Verifying Officer 1		Verifying Officer 2	
Given name(s)		Given name(s)	
Other names known by (if any)		Other names known by (if any)	
Surname		Surname	
Residential address		Residential address	
Occupation/ Position/Role		Occupation/ Position/Role	
Date of birth	/ /	Date of birth	/ /
Signature	X	Signature	X

Tick (✓) this box if additional Verifying Officer details are included in an annexure to this form.

## Part D The Accounts/Facilities

The accounts/facilities for which the persons are nominated as Verifying Officers are:

Tick (✓) which are to apply

- All accounts/facilities conducted by the organisation (named in Part A) with Westpac at the date of this form or at any time in the future;
- All accounts/facilities conducted by any subsidiary, if applicable, (named in Annexure B) with Westpac at the date of this form or at any time in the future.

\* Westpac Group means Westpac Banking Corporation and its related bodies corporate.

## Part E Agreements

The Authorised Representatives, by signing this form, confirm and agree that:

- they are authorised to sign this nomination form on behalf of the organisation (named in Part A), and if applicable, its subsidiaries (named in Annexure B);
- the organisation/each subsidiary must advise Westpac as soon as practicable should a nomination be revoked;
- each Verifying Officer nominated must be fully identified by Westpac (and not by another Verifying Officer) for the purposes of this nomination before acting as a Verifying Officer and that Westpac may decline to accept a nomination for reasons associated with its obligations under the AML Law without being required to give reasons;
- a Verifying Officer is not, by this form, authorised to appoint signatories/agents; and
- they are aware that it is an offence under the AML Law to knowingly provide false or misleading information or knowingly produce a false or misleading document. **Penalty: Imprisonment for 10 years.**

*(If signed on behalf of an Australian company this form may be signed by 2 directors or a director and a secretary. In all other cases evidence of authority to sign must be made available to Westpac if not already held by it.)*

Authorised Representative 1	
Given name(s)	
Surname	
Position	
Signature	X
Date	/ /

Authorised Representative 2	
Given name(s)	
Surname	
Position	
Signature	X
Date	/ /

### Bank Use Only

Organisation IDV No.

Verifying Officer's Full Name	IDV No.	CIS Key
1.		
2.		
3.		
4.		
5.		
6.		

Bank officer's name

Branch/Department

Signature

 X

Salary no.

BSB

Date

 / /

# Annexure A to WIB Verifying Officer Nomination

Full name of organisation

Date of nomination

## Part C Details of Verifying Officer (Continued)

Verifying Officer 3	
Given name(s)	
Other names known by (if any)	
Surname	
Residential address	
Occupation/ Position/Role	
Date of birth	/ /
Signature	<b>X</b>

Verifying Officer 4	
Given name(s)	
Other names known by (if any)	
Surname	
Residential address	
Occupation/ Position/Role	
Date of birth	/ /
Signature	<b>X</b>

Verifying Officer 5	
Given name(s)	
Other names known by (if any)	
Surname	
Residential address	
Occupation/ Position/Role	
Date of birth	/ /
Signature	<b>X</b>

Verifying Officer 6	
Given name(s)	
Other names known by (if any)	
Surname	
Residential address	
Occupation/ Position/Role	
Date of birth	/ /
Signature	<b>X</b>

