

Customer verification form – Domestic Proprietary Company

All fields are mandatory, unless specified otherwise.

1. Domestic Proprietary Company information		
Westpac Business or Individual Customer Number (if known)	
Full name of the company as registered with ASIC		
Other name(s) under which the company carries on their but	siness (if any)	
ACN	ABN (if any)	
Is the company majority owned by an Australian publicly list	ed company?	
Yes Provide name of the domestic stock exchange of	on which the majorit	ry owner is listed.
No So to next question		
Is the company majority owned by a foreign listed company	?	
Yes Provide name and jurisdiction of the foreign stoo	ck exchange on whi	ch the majority owner is listed.
No So to next question		
Nature of business activities – Australia and New Zealand S Please provide us with details of the industry in which your b		
rease provide as with details of the maastry in which your b	ousiness operates	ror example, real estate, daily mandacturer.
Date of registration		
/ /		
State/Territory of registration		
Full address of the company's registered office (not a PO Bo	x)	
Suburb	State	Postcode

1. Domestic Proprietary Company Information (continued)				
Full address of th	e company's principal	place of business (not a F	O Box) (if any)	
Suburb			State	Postcode
Company's conta	act email address			
Full name(s) (inc details on a sepa) where applicable) of ALL	. company direct	ors. (If there is not enough space, provide
	-	ountry outside of Australia		
	untry(ies) outside of A x Identification Numbe		pany is a resident	t for tax purposes and country's associate
	ote: If the company ha ormation on a separat		n which they are	a tax resident, please provide this
Co	ountry 1		Foreign TI	N 1
□ No S Go to	next question			
* A Foreign TIN is an If you're a tax residen	dentifying number or equiv			y that is used for tax purposes. dence (which could include publicly available
Purpose of busin	ess relationship (plea	se select one or more op	tions)	
	r reasons for engaging Please indicate all your		ts and services. (Customers may have multiple reasons for
☐ Transactional		Wealth		Correspondent banking
Savings		Short-term borrowing	,	Financial markets
Protection		Long-term borrowing		
Source of funds	(please select one or	more options)		
		at are the subject of the buindicate all your sources of		ip between you and us. Many customers
Commission		☐ Tax refund		External investment/capital injection
Bonus		Gift/donation		Mergers and acquisitions
Business prof	its	Government grant		Controlled money account
Loan		Business income/earr	nings	Liquidation of assets
Rental incom	е	Investment income/ea	arnings [Insurance payment
Sale of assets		Corporate investment	s earnings	Compensation payment
Additional so	urces (please specify)			

1. Domestic Proprietary Company Information (continued)
Source of wealth (please select one or more options)
This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate all your sources of wealth.
Business profits Gift/donation None Insurance payment Liquidation of assets Sale of assets Additional sources (please specify) Gift/donation Owns real estate/property Mergers and acquisitions Controlled money account Compensation payment Compensation payment
2. Regulated companies only
Is the company licensed and subject to regulatory oversight by a statutory Commonwealth, State or Territory regulator (e.g. ASIC, APRA, ATO)?
Note: A company is licensed by ASIC if it deals or advises in investments, superannuation, insurance, deposit-taking and credit products.
Yes Name of the regulator
Australian Securities & Investments Commission (ASIC)
Australian Prudential Regulation Authority (APRA)
Australian Taxation Office (ATO)
Licence number
Go to section 6 Declaration once the above is complete
□ No So to next question
3. Beneficial Owners

Full Name(s) (including middle name(s) where applicable), full address (no PO Box), date of birth and type of relationship of each INDIVIDUAL who is a Beneficial Owner.

A Beneficial Owner is:

- 1. Any Individual who owns (either directly or indirectly) 25% or more of the company, such as a shareholder; OR
- 2. If no one owns 25% or more, each Individual that controls (either directly or indirectly) the company.

Where you are not able to identify any such Individual using the previous measures, the following Individual(s) can be treated as if they were a Beneficial Owner.

- 1. An Individual entitled (either directly or indirectly) to exercise 25% or more of the voting rights, including a power of veto; OR
- 2. If no one is entitled to exercise 25% or more of the voting rights, an Individual who holds the position of senior Managing Official (or equivalent), such as the Chief Executive Officer (CEO), Chief Operating Officer (COO), Chief Financial Officer (CFO), or Chairman of the Board, or foreign equivalent positions.

Please attach certified copies of identification documentation (go to section 7 to find out more about acceptable documentation).

If there is not enough space, provide details on a separate sheet and attach to this form, labelling the section that the attachment relates to.

3. Beneficial Owners (continued)		
Beneficial Owner 1		
Type of relationship:		
Owner Controller Other Individual		
Title Full name (as per identification document	·)	
Other name(s) (If any)		Date of birth
		/ /
Are you a Westpac customer? If yes, then please provide your	customer number	
Employment type (e.g. Full-time, Part-time, Casual)		
Occupation		
Full address (not a PO Box)		
Suburb	State Pos	stcode
Mobile number	Email address	
Is Beneficial Owner 1 a tax resident of any country outside of A	ustralia?	
Yes Please indicate below the country(ies) in which Be and each country's associated TIN*	neficial Owner 1 is a resident for ta	x purposes
Note: If the individual has more than one country in which separate sheet.	they are a tax resident, please prov	vide this information on a
Country 1	Foreign TIN 1	
☐ No ③ Go to next question		
Non-Beneficially held shareholdings, if applicable:		
 Please advise how non-beneficial shares in the entity are held is on behalf of an individual/trust/company) 	d and, on whose behalf, they are he	eld (for example, whether it
Individual shareholder's name		
Entity name		
Hold on hohalf of		
Held on behalf of		

^{*} A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes.

If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued))	
Purpose of business relationship (plea	ase select one or more options)	
Transactional Savings Protection	Wealth Short-term borrowing Long-term borrowing	Correspondent banking Financial markets
Source of funds (please select one or	more options)	
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify)	Inheritance Redundancy Liquidation of assets Government benefits Superannuation/pension Investment income/earnings Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one of Government benefits	r more options)	☐ Business income/earnings
Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Compensation payment Gift/donation Owns real estate/property None

3. Beneficial Owners (continued)		
Beneficial Owner 2		
Type of relationship:		
Owner Controller Other Individual		
Title Full name (as per identification document)		
Other name(s) (If any)		Date of birth
		/ /
Are you a Westpac customer? If yes, then please provide your c	ustomer number	
Employment type (e.g. Full-time, Part-time, Casual)		
Occupation		
Full address (not a PO Box)		
Suburb	State Postco	de
Mobile number	Email address	
Is Beneficial Owner 2 a tax resident of any country outside of Au	ustralia?	
Yes Please indicate below the country(ies) in which Ben and each country's associated TIN*	eficial Owner 2 is a resident for tax p	ourposes
Note: If the individual has more than one country in which the separate sheet.	ney are a tax resident, please provide	e this information on a
Country 1	Foreign TIN 1	
☐ No ③ Go to next question		
Non-Beneficially held shareholdings, if applicable:		
 Please advise how non-beneficial shares in the entity are held is on behalf of an individual/trust/company) 	and, on whose behalf, they are held	(for example, whether it
Individual shareholder's name		
Entity name		
Held on behalf of		

^{*} A Foreign TIN is an identifying number or equivalent issued by the company's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued)		
Purpose of business relationship (please select one or more options)		
☐ Transactional ☐ Savings ☐ Protection	Wealth Short-term borrowing Long-term borrowing	Correspondent banking Financial markets
Source of funds (please select one or	more options)	
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify)	Inheritance Redundancy Liquidation of assets Government benefits Superannuation/pension Investment income/earnings Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one of	r more options)	
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify)	Windfall Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Business income/earnings Compensation payment Gift/donation Owns real estate/property None

3. Beneficial Owners (continued)				
Beneficial Owner 3				
Type of relationship: Owner Controller Other Individual				
Title Full name (as per identification docume	nt)			
Other name(s) (If any)			Date of	birth
			/	/
Are you a Westpac customer? If yes, then please provide you	ır customer number			
Employment type (e.g. Full-time, Part-time, Casual)				
Employment type (e.g. r an time, r art time, eastar)				
Occupation				
Full address (not a PO Box)				
Suburb	State P	ostcode	<u> </u>	
Mobile number	Email address			
Is Beneficial Owner 3 a tax resident of any country outside of				
Yes Please indicate below the country(ies) in which E and each country's associated TIN*	Beneficial Owner 3 is a resident for	tax pu	rposes	
No So to next question				
Note: If the individual has more than one country in which the separate sheet.	ey are a tax resident, please provid	de this i	informat	ion on a
Country 1	Foreign TIN 1			
 Non-Beneficially held shareholdings, if applicable: Please advise how non-beneficial shares in the entity are he is on behalf of an individual/trust/company) 	eld and, on whose behalf, they are	held (f	or exam	ple, whether it
Individual shareholder's name				
Entity name				
Held on behalf of				

^{*} A Foreign TIN is an identifying number or equivalent issued by the company's country of tax residency that is used for tax purposes.

If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

3. Beneficial Owners (continued)	
Purpose of business relationship (ple	ease select one or more options)	
☐ Transactional ☐ Savings ☐ Protection	Wealth Short-term borrowing Long-term borrowing	Correspondent banking Financial markets
Source of funds (please select one o	r more options)	
Salary/wages Commission Bonus Loan Business profits Sale of assets Rental Income Additional sources (please specify	Inheritance Redundancy Liquidation of assets Government benefits Superannuation/pension Investment income/earnings Business income/earnings	Compensation payment Gift/donation Windfall Tax refund Insurance payment
Source of wealth (please select one	or more options)	
Government benefits Business profits Rental income Redundancy Insurance payment Sale of assets Additional sources (please specify	Windfall Inheritance Liquidation of assets Employment income/earnings Superannuation/pension Investment income/earnings	Business income/earnings Compensation payment Gift/donation Owns real estate/property None

4. Foreign tax residency

We are required to identify tax residents of a country(ies) other than Australia in order to meet account information reporting requirements under local and international laws.

If at any time after account opening, information in our possession suggests that you, the company and/or any individual who holds ownership and/or control in the company of 25% or more (Beneficial Owner/Controlling Person) may be a tax resident of a country(ies) other than Australia, you may be contacted to provide further information on your foreign tax status and/or the foreign tax status of the entity and/or any Beneficial Owners/Controlling Persons. Failure to respond may lead to certain reporting requirements applying to the account.

You certify that if at any time there is a change to the foreign tax status details for you, the company and/or any controlling persons, you will inform the bank. You also certify that if at any time there is a change of a controlling person/s in your company, you will inform the bank.

A Beneficial Owner/Controlling Person refers to the individual(s) that directly or indirectly owns a legal interest in the entity of 25% or more and/or exercises actual effective control over the entity, whether from an economic or other perspective such as through voting rights. In addition, in the case of a trust, a Beneficial Owner/Controlling Person includes the settlor(s), trustee(s), appointer(s), protector(s), beneficiary(ies) or classes of beneficiaries and in the case of an entity other than a trust, the term includes persons in equivalent or similar positions.

5. Privacy statement and consent request

Privacy statement.

All personal information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at westpac.com.au/privacy/privacy-statement or by calling us on 132 032. Our Privacy Statement also provides information about how you can access and correct your personal information and make a complaint. You do not have to provide us with any personal information, but if you don't, we may not be able to continue to provide products or services to the customer for which you are a trustee, partner, representative, beneficial owner, or controlling person.

Consent request.

You consent to Westpac collecting and holding any sensitive information (such as health information or information about your racial or ethnic origin) which appears on certified copies of your identity documents. You will not be able to withdraw your consent to Westpac holding this information after it has been provided because Westpac is required to retain copies of identification documents under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*.

If you do not consent to Westpac's collection of any such sensitive information, you may verify your identity in person at any Westpac branch.

6. Declaration

Customer declaration

I declare that to the best of my knowledge the information I have provided above is true and correct as at the date of this document. I understand that it is an offence to knowingly give false or misleading information or knowingly produce a false or misleading document under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*.

Where I am providing personal information about another individual, I have made them aware:

- · that I will be doing this; and
- that Westpac will collect, use and share their personal information in accordance with its Privacy Statement available at westpac.com.au/privacy/privacy-statement

Where I am providing Westpac with another person's sensitive information, I have obtained their consent to sharing it with Westpac and their consent to Westpac collecting, using and disclosing their sensitive information in accordance with Westpac's Privacy Statement.

Signature of authorised person	_
x	
Position held (Director or Company Secretary)	Date of signature
Full name (given name/s and family name)	Customer number (if applicable)
Are you also a Beneficial Owner and have provided you	ır details in section 3
Yes So to section 7 No Please continue completing Signatory details	ails questions helow
Signatory details if you are not a Beneficial Owner	ans questions below
Other names (if any)	Date of birth
Employment Type (e.g. Full Time, Part Time, Casual)	
Occupation	
Full residential address (Not a PO Box)	
Email address	Mobile number
Are you a tax resident of any country outside of Australia	a?
Yes Please indicate below the country(ies) in wlassociated TIN*	hich you are a resident for tax purposes and each country's
Note: If the company has more than one country in v	which they are a tax resident, please provide this information
on a separate sheet.	
Country 1	Foreign TIN 1
No So to next question	
*A Foreign TIN is an identifying number or equivalent issued by the indi	
If you're a tax resident in a jurisdiction that doesn't issue a TIN or equiva (which could include publicly available information) from an official auti	

6. Declaration (continued)

ransactional	Wealth	Correspondent banking
Savings	Short-term borrowing	Financial markets
Protection	Long-term borrowing	
ource of funds (please select o	one or more options)	
] Salary/wages	Inheritance	Compensation payment
Commission	Redundancy	☐ Gift/donation
Bonus	Liquidation of assets	Windfall
Loan	Government benefits	Tax refund
Business profits	Superannuation/pension	Insurance payment
Sale of assets	Investment income/earnings	
Rental Income	Business income/earnings	
Additional sources (please s	pecify)	
ource of wealth (please select	one or more options)	
Government benefits	Windfall	Business income/earnings
	Inheritance	Compensation payment
Business profits		☐ Gift/donation
Business profits Rental income	Liquidation of assets	
7	Liquidation of assets Employment income/earnings	Owns real estate/property
Rental income		
Rental income Redundancy	Employment income/earnings	Owns real estate/property

7. Next steps

- Step 1: Ensure all relevant sections of the form are completed and the customer declaration is signed
- **Step 2:** Attach all certified copies of supporting documents
- Step 3: Return the documentation (completed form and certified copies of supporting documents) by post to:

Business Identification Team Reply Paid 91348 SYDNEY NSW 2001

If you are located outside of Australia please pay for postage and send to:

Business Identification Team GPO Box 1806 Sydney NSW 2001 Australia

Certified documents

Customers are required to provide us with certified copies (not original documents) of acceptable identification documents that verify the individual to be identified (each Beneficial Owner identified in sections 3 and 6 (if applicable). Please provide either:

- ONE Primary Photographic Identification Document; OR
- ONE Primary Non-Photographic Identification Document AND ONE Secondary Identification Document

For a detailed list of certified documents and certifiers, go to <u>westpac.com.au</u>, search **BizSecure**, and then go to **FAQ section – How do I certify my identity?**

Example

ONE Primary Photographic Identification Document (all information on documents must be clear and legible), for example:



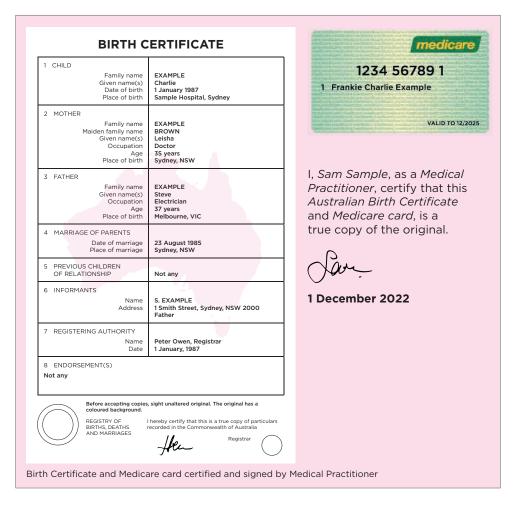
I, Sam Sample, as a Medical Practitioner, certify that this Driver Licence, is a true copy of the original.

1 December 2022

7. Next steps (continued)

Example

ONE Primary Non-Photographic Identification Document and ONE Secondary Identification Document (all information on documents must be clear and legible), for example:



Bank use only

Please contact the Business Identification Team on 1800 080 702 between 9am - 5pm AEST Mon - Fri. The team will be able to assist customers with completing the form.

Kindly check all sections of the form are completed and signed. Please check all required documents are certified correctly. The completed form and certified identification will need to be forwarded to businessIDWBC@westpac.com.au after completing the Employee declaration.

Employee Declaration

I have followed the process for identification and verification as required by policy and procedure and have verified the required information provided by the customer using approved verification source(s). Where the verification source has been provided by the customer the document is to the best of my knowledge a certified copy.

Employee name (print)	Employee salary number
Employee signature	Date
X	/ /