



NAME AND ADDRESS OF OPTIONHOLDER

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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Westpac Banking Corporation

ABN 33 007 457 141

www.westpac.com.au

Please return the completed form to:

Attn: Bank reconciliations – Unclaimed Money

C/- MUFG Corporate Markets (AU) Limited

A division of MUFG Pension & Market Services

Locked Bag A14

Sydney South, NSW 1235 Australia

Telephone (free call within Australia): +61 1800 022 440

New Zealand: 0800 442 845

United Kingdom: 0845 640 6130

Fiji Islands: 008 002 054

Facsimile: +61 2 9287 0303

ASX Code: WBC

Email: westpac@cm.mpms.mufg.com

Website: au.investorcentre.mpms.mufg.com

## UNCLAIMED DIVIDENDS REFUND REQUEST FORM

### IMPORTANT INFORMATION

The personal information we collect on this form will be used to arrange for a refund of unclaimed moneys. It may be disclosed to service providers who do things on our behalf (e.g. mailing house) or to other third parties where it is required or allowed by law or where you have otherwise consented. If the unclaimed moneys is held by a government body (i.e. ASIC), the collected information will be disclosed to the government body to process the claim.

### A Securityholding Details

Holder Number (HIN or SRN)

\_\_\_\_\_

OTN\*

\_\_\_\_\_

\* OTN is a unique number issued by ASIC to identify the unclaimed money record. This number must be provided to ASIC when making an unclaimed money claim. Please refer to the Money Smart website <http://www.moneysmart.gov.au/tools-and-resources/find-unclaimed-money>

### Securityholder Name(s) or Company Name

Full Name 1

\_\_\_\_\_

Full Name 2

\_\_\_\_\_

**Current Address** (the Security Register will be updated to this address for Issuer Sponsored Securityholders)

Unit Number/Level

\_\_\_\_\_

Street Number

\_\_\_\_\_

Street Name

\_\_\_\_\_

Suburb/Town

\_\_\_\_\_

State

\_\_\_\_\_

Post Code

\_\_\_\_\_

Telephone Number

\_\_\_\_\_

Email Address

\_\_\_\_\_

If the address has changed since the unclaimed monies were lodged to ASIC, give details of the previous address.

**Previous Address** (as per ASIC record)

Unit Number/Level

\_\_\_\_\_

Street Number

\_\_\_\_\_

Street Name

\_\_\_\_\_

Suburb/Town

\_\_\_\_\_

State

\_\_\_\_\_

Post Code

\_\_\_\_\_

**Amount**

\$ \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

To check the amount, go to the Money Smart website

### B Verification of Securityholding and Identity of Securityholders

☐ Attach original certified copy document to prove residential or mailing address as per ASIC record e.g. holding statement, dividend advice, rates notice, electricity account or phone account.

☐ Attach certified copies of 2 forms of Identification e.g. Drivers Licence, Medicare Card, Passport.

**Note:** If Unclaimed Monies belong to a Deceased Estate, please include certified copies of Probate or Will and Death Certificate.

If Unclaimed Monies belong to a Company, please contact MUFG Corporate Markets (AU) Limited on the above contact number.

**C Refund Instructions**

**Note: The Security Register will be updated to this bank account and future dividends [if applicable] will be paid to this account.**

☐

Pay to nominated bank account

Name of Bank / Financial Institution

Branch Address

Account Name

BSB Number

Account Number

OR

☐

Post By Cheque

**D Declaration**

This is to certify that the Securityholder named above is the rightful owner of money remitted to the ASIC in compliance with the *Banking Act*.

- I/We had an a securityholding with Westpac Banking Corporation of which I/we believe that the monies have been transferred to ASIC.
- The holding details were correct as stated above.
- I/We are the true owner(s) of the monies in the holding listed above and am/are entitled to claim the monies that were available in the holding immediately prior to the value being transferred to the ASIC.
- I/We request MUFG Corporate Markets (who is acting for Westpac Banking Corporation) to act on my/our behalf for the recovery of unclaimed money for my/our securityholding/s and I/we request ASIC to pay the proceeds to MUFG Corporate Markets.

**Note:** It is an offence under the *Anti-Money Laundering and Counter Terrorism Financing Act (2006)* to give false or misleading information or documents.

**E Signature(s) of Securityholder(s) – This must be completed**

Securityholder 1 (Individual)

Joint Securityholder 2 (Individual)

Joint Securityholder 3 (Individual)

Sole Director and Sole Company  
Secretary/Director (delete one)

Director/Company Secretary (delete one)

**Signing Instructions:** This form should be signed by the securityholder. If a joint holding, all securityholders should sign. If signed by the securityholder's attorney, the power of attorney must have been previously noted by the registry or a certified copy attached to this form. If executed by a company, the form must be executed in accordance with the company's constitution and the *Corporations Act 2001* (Cth) (or for New Zealand companies, the *Companies Act 1993*).

Date

**Personal Information Collection Notification Statement:** Westpac Banking Corporation (Westpac) is required to collect certain information about securityholders under company and tax law. Information is collected on Westpac's behalf by its registrar, MUFG Corporate Markets, to administer your securityholding and if some or all of the information is not collected then it might not be possible to administer your securityholding. You can obtain access to your personal information by contacting MUFG Corporate Markets at the address or telephone number shown on this statement. MUFG Corporate Market's privacy policy is available at <https://www.mpm.mufig.com/docs/Privacy-Policy.pdf>. For more information about how your personal information will be collected, used and disclosed by Westpac, please see Westpac's privacy policy on its website at [westpac.com.au/privacy](http://westpac.com.au/privacy).

**OFFICE USE ONLY**☐

Verify securityholding details, previous address, UCM amounts and any pending/withheld payments

☐

All required documents are attached – Certified copies of 2 forms of ID, Proof of previous address or certified Stat Dec, OTN details, new bank instructions received or request for cheque.

Completed by

Signature

Date